

# MULTICAST GROUPING FOR DATA DISTRIBUTION MANAGEMENT

*Katherine L. Morse*

Epsilon Systems Solutions  
2550 Fifth Avenue, Suite 724  
San Diego, CA 92103  
619-702-1700, 619-702-1711  
kmorse@epsilonsystems.com

*Michael Zyda*

MOVES Academic Group  
Naval Postgraduate School  
Monterey, CA 93943-5118  
zyda@acm.org

**KEYWORDS:** HLA, Data Distribution Management, multicast, RTI

## **ABSTRACT**

The High Level Architecture's Data Distribution Management services are the most recent in a succession of systems designed to reduce the amount of data received by individual simulations in large-scale distributed simulations. A common optimization in these interest management systems is the use of multicast groups for sending data to a selected subset of all potential receivers. The use of multicast has met with considerable success in this application. However, its use to date has relied on a priori knowledge of communication patterns between simulations and static assignment of multicast groups to these patterns. As larger, more complex, and less predictable simulations are built, the need has arisen for more efficient use of multicast groups

as they are a restricted resource<sup>1</sup>. This paper presents two algorithms for performing grouping, and the message delivery time improvements resulting from applying the algorithms to selected data sets.

## **1 INTRODUCTION**

The primary goal of the High Level Architecture (HLA) (DMSO 1998; Morse and Steinman 1997) Data Distribution Management (DDM) services is to reduce the amount of data received by federates. Using Declaration Management (DM) services, federates specify classes of data they wish to receive. However, in federations with large numbers of objects or objects generating large numbers of updates, DM services may be insufficient to reduce data delivery to the degree necessary for federates to

---

<sup>1</sup> (3Com) lists a limit of 6K, the highest number identified while STOW (VanHook) had a hardware limit of approximately 1,000. A typical workstation NIC has only a few (Abrams).

receive and process updates in a timely manner. In these circumstances federates may use DDM services to further limit the individual updates received. Section 2 provides a more detailed overview of DDM.

The DDM services specified for the HLA are the latest in a succession of data reduction mechanisms for large scale distributed simulations. These mechanisms are referred to variously as interest management, relevance filtering, and data subscription. See (Morse 2000) for an extensive survey of previous systems. In (Morse 2000) we also demonstrate that most interest management systems to date have been purposely built with relatively static architectures and static specification of filtering capabilities. The current trends for interest management systems are toward:

1. Distributed and dynamic architectures
2. Flexible, general purpose specification of filtering expressions
3. Optimization to improve overhead of the interest management itself, especially through the use of multicast.

This paper focuses on improving the performance of DDM through assignment of multicast groups based on a cost function. In section 3 we analyze the potential performance improvements for using multicast grouping. Section 4 describes our first multicast grouping algorithm, the various simulation tools we built to test our hypotheses, and the results of experiments with the algorithm and tools. Section 7 outlines the remaining work on this project<sup>2</sup>.

---

<sup>2</sup> Initial work on this project was funded under DARPA ASTT contract MDA9972-97-C-0023.

## 2 DDM OVERVIEW

The fundamental Data Distribution Management construct is a *routing space*. A routing space is a multidimensional coordinate system through which federates<sup>3</sup> either express an interest in receiving data (subscribe) or declare their intention to send data (publish). These intentions are expressed through:

- *Subscription Region*: Bounding routing space coordinates that narrow the scope of interest of the subscribing federate<sup>4</sup>.
- *Update Region*: Bounding routing space coordinates that are guaranteed to enclose an object's location in the routing space.

Both subscription and update regions can change in size and location over time as a federate's interests change or an object's location in the routing space changes.

An object is discovered by a federate when at least one of the object's attributes comes into scope for the federate, i.e. if and only if:

- the federate has subscribed to the attribute
- the object's update region overlaps the federate's subscription region.

DDM enable federates to specify by object class and attribute name the types of data they will send or receive, while also narrowing the specific instances of data. Each federate decides which of the federation routing spaces are useful to them and defines the portions of those

---

<sup>3</sup> A federate is a member simulation of a distributed simulation referred to as a federation.

<sup>4</sup>Regions in a multidimensional routing space do not necessarily map to physical geographical regions. A region in a routing space should be thought of as an abstract volume with any number of dimensions, e.g. radio channels.

routing spaces that specify *regions*, or logical areas of interest particular to the federate, by putting bounds (*extents*) on the dimensions of the selected routing space.

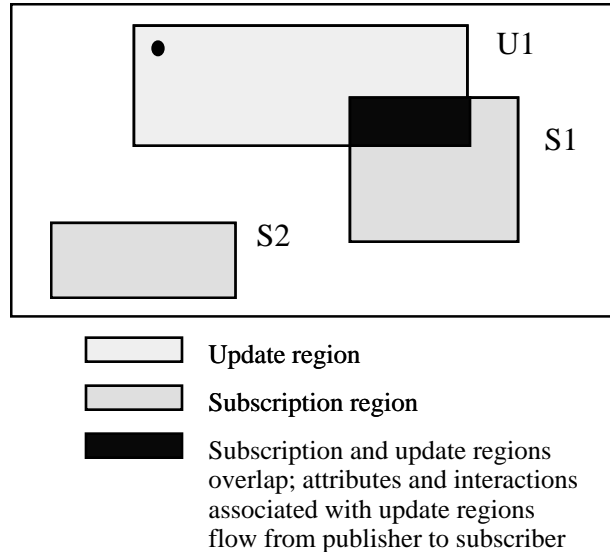
Specifying a subscription region, the federate tells the Run Time Infrastructure<sup>5</sup> (RTI) it is interested in data which fall within the extents of the region specified by that federate. Specifying an update region and associating that update region with a particular object instance is a contract from the federate to the RTI that the federate will ensure that the characteristics of the object instance which map to the dimensions of the routing space fall within the extents of the associated region at the time that the attribute update is issued. This implies that the federate is monitoring these added characteristics for each of the attributes owned by the federate. As the state of the objects change, the federate may need to either adjust the extents on the associated regions or change the association to another region.

Figure 2-1 shows one update region (U1) and two subscription regions (S1, S2) within a two dimensional routing space. In this example, U1 and S1 overlap so attribute updates from the object associated with U1 will be routed to the federate that created S1. In contrast U1 and S2 do not overlap so attributes will not be routed from the federate that created U1 to the federate that created S2.

When an update region and subscription region of different federates overlap, the RTI establishes communications connectivity between the publishing and subscribing federates. The subscribing federates each receive only the object class attributes to which

<sup>5</sup> An RTI is an implementation of the High Level Architecture.

they subscribed, although they may receive individual updates outside their subscription region depending on the precision of the routing space implementation. In figure 1, S1's federate will receive attribute updates from the object associated with U1 because their regions overlap, even though the object itself is not within S1.



**Figure 2-1. Two-dimensional Routing Space Example**

Each federate can create multiple update and subscription regions. Update regions are associated with individual objects that have been registered with the RTI. A federate might have a subscription region for each sensor system being simulated.

For the sake of simplicity we have described regions as n-dimensional rectangles up to this point<sup>6</sup>. In fact, they are defined as sets of extents, or sets of n-dimensional rectangles. Regions which are not logically rectangular can be approximated by sets of smaller rectangles.

<sup>6</sup> The most common application of regions is to geographical 3-space, but the concept of regions is not limited to this.

### 3 MULTICAST GROUPING

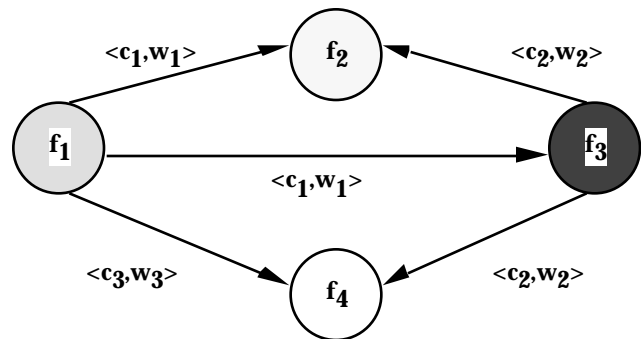
The most promising optimization identified to date is the use of multicast groups for routing data to a controlled subset of all member simulations in a simulation (Abrams, Watsen, and Zyda 1998; Calvin *et al.* 1995; Macedonia *et al.* 1995; Mastaglio and Callahan 1995; Rak and Van Hook 1996). The ultimate measure of effectiveness of any interest management system is the latency between sending a piece of data and an interested receiver getting it. Broadcast makes sending fast, but at the expense of time spent by the receiver discarding irrelevant data. Point-to-point ensures that receivers only get relevant data, but it requires determining the destination for the data and requires sending multiple copies of that data, slowing transmission. The use of multicast strikes a balance between broadcast and point-to-point by reducing the time to send and the amount of data received. Broadcast and point-to-point represent opposite ends of the send/receive time spectrum with various applications of multicast occupying the area in between. Even though multicast has the potential of improving communication time, it is not without its own challenges: multicast hardware currently supports a limited number of multicast groups, on the order of a couple thousand; the time to reconfigure multicast routers can be of the same order as the total allowable latency for message delivery (Mastaglio and Callahan 1995). As a result, most implementations using multicast to date have used static assignment of multicast groups, usually to fixed geographic regions<sup>7</sup>. These implementations have achieved good results, but ultimately they are limited in scale as well because they do not account for changing connection graphs between senders and receivers. The next step in optimization is

<sup>7</sup>See (Macedonia *et al.* 1995) for an exception.

dynamic multicast grouping that adapts to connection patterns.

#### 3.1 Connection Graphs

By virtue of regions, we know the destination of attribute updates before they are sent. Figure 3-1 illustrates the problem with a connection graph. A connection represents an attribute update stream originating from one federate and received by one or more other federates, that is updated with some frequency<sup>8</sup>. A connection may also be thought of as the result of the overlap of a single update region with one or more subscription regions. Connections are labeled to differentiate between potentially multiple update streams between the same set of sender and receivers. Federate  $f_1$  sends the attribute update(s) represented by connection  $c_1$  to federates  $f_2$  and  $f_3$ . Federate  $f_3$  sends the attribute update(s) represented by connection  $c_2$  to federates  $f_2$  and  $f_4$ . Federate  $f_1$  also sends  $c_3$  to  $f_4$ . The connection set,  $C$ , represented by this graph is  $\{ \langle c_1, w_1, f_1, (f_2, f_3) \rangle, \langle c_2, w_2, f_3, (f_2, f_4) \rangle, \langle c_3, w_3, f_1, (f_4) \rangle \}$ .



**Figure 3-1. Example Connection Graph**

<sup>8</sup> In fact, connection graphs are hypergraphs because a connection's edges connect with multiple nodes. However, an illustration of such a hypergraph is more complex and obscures the input weight to the individual node federates. The importance of the latter will be explained later.

Note that a connection doesn't represent a single message, but all updates of some set of object attributes.

This problem is similar to the clique-covering problem. Intuitively, if a clique exists in the connection graph between a set of nodes for a set of connections, assigning a multicast group to these nodes for these connections results in optimal routing. In general, however, we cannot expect to be fortunate enough to have many cliques in the connection graph. The algorithm's accuracy is augmented by weighting the arcs in the graph with the data transfer frequency over the arc, known as the connection weight.

The multicast grouping algorithm uses maximum tolerable latency,  $t_{max}$ , as its cost measure. The goal is to group  $n$  connections,  $c_1, \dots, c_n$ , into no more than  $m$  multicast groups,  $g_1, \dots, g_m$ , such that no communication arrives at its receiver in greater than  $t_{max}$  time, if physically possible. The parameters to the algorithm are:

- Number of available multicast groups ( $m$ )
- Maximum tolerable latency ( $t_{max}$ )
- Time to send ( $t_s$ ) - We assume that  $t_s$  is roughly the same for all federates.
- Time to receive ( $t_r$ ) - We assume that the time to discard an irrelevant message is the same as the time to receive a relevant one; also that  $t_r$  is roughly the same for all federates.
- Time to propagate message through the network ( $t_p(f_i, f_j)$ ) - measured between federate  $f_i$  and  $f_j$ , the publisher and subscriber of the connection, respectively.

The algorithms begin by assuming point-to-point communication for all messages and falls back to this position when the network and scenario make multicast grouping impossible,

i.e. when  $t = t_{ds} + t_p + t_r + t_q > t_{max}$  for some connections, where  $t_{ds}$  represents the delay in sending caused by sending point-to-point, and  $t_q$  represents the time in the receiver's queue.

The decision to add a connection,  $c$ , to a multicast group is based on the expected negative impact on  $t$  of all connections and federates already in the group, as well as the positive impact on  $t(c)$  accrued by sending  $c$  via multicast, where  $t(c)$  is the time from the beginning of  $c$ 's sending to the end of the last receiver's receipt. If connection  $c$  has  $k$  receivers and individual updates are sent point-to-point,  $t(c)$  is bounded by:

$$t(c) \leq k \cdot t_s + \max(t_p(f_i, f_j)) + \max(t_q(f_j)) + t_r$$

### Equation 3-1. Time Bound for $k$ Point-to-Point Communications

This bound is based on the worst case assumption that the  $k^{\text{th}}$  communication has the longest  $t_p$  and the longest  $t_q$ . If  $c$  is sent via multicast,  $t_{ds}$  is reduced to  $t_s$ .

We assume  $t_s$  and  $t_r$  are fixed, uniform, and roughly equal. Time to propagate the update,  $t_p(f_i, f_j)$ , is assumed to be fixed, but different and measurable between source and destination, federates  $i$  and  $j$ . Time in the queue,  $t_q$ , varies depending on the number and frequency of messages received.

However, adding connections to an existing multicast group may cause extraneous communication at some receivers, increasing  $t_q$  for some valid communications. For example, putting all the connections in Figure 3-1 would result in the following extraneous communications:

- $c_3$  to  $f_2$  and  $f_3$

- $c_1$  to  $f_4$
- $c_2$  to  $f_1$

This simple observation illuminates a much larger point. We are addressing *potential* performance improvements. There are some circumstances under which we cannot improve over the performance of point-to-point.

## 4 GROUPING ALGORITHMS

### 4.1 The Largest Outgoing Connection (LOC) Algorithm

The first algorithm built and tested is referred to as the “largest outgoing connection” (LOC) algorithm. The LOC of any node is the connection originating at that node whose connection weight,  $w$ , multiplied by the number of receivers,  $k$ , is the largest. We use this measure because  $t_{ds} = k \cdot t_s \cdot w$  is the total sending delay time for point-to-point, which the quantity we wish to reduce across the entire federation.

The algorithm performs the following steps for each available multicast group:

1. Set the group’s weight to 0.
2. Calculate the LOC of each node.
3. Select the LOC for the entire graph; in the event of a tie, select the lowest numbered such connection.
4. Test that adding the weight of the selected connection to the current weight of the group will not exceed  $t_{max}$ . If it does, remove this connection from consideration for this multicast group and return to step 3.
5. Add the selected connection’s sender and all its receivers to the multicast group. Add the connection’s weight to the group weight.
6. Calculate a new LOC for the sender’s node.
7. Repeat steps 3 through 6 with the modification that new connections are only considered which originate with current

group members. Halt when all connections are assigned or there are no connections left whose addition will not cause the group to exceed  $t_{max}$ .

### 4.2 Simulating Algorithm Goodness

To test the goodness of the results of the algorithms we built a discrete event simulation that takes as input a configuration file specifying:

1.  $f$  (the number of federates)
2.  $t_p$  (matrix of the propagation times between each pair of federates)
3.  $t_r$
4.  $t_s$
5.  $t_{max}$
6. a connection set with connections assigned either to multicast groups or to point-to-point communication.

The simulation simulates 10 seconds of updates at millisecond resolution according to the connection set and measures:

- Average message queue time
- Average message delivery time
- Number of messages
- Number of late messages
- Average queue length

If an update is sent point-to-point, individual copies of the update are sent to multiple receivers at intervals of  $t_s$ . Updates sent via multicast are sent simultaneously.

### 4.3 Testing with Random Connection Sets

We performed experiments with the random connection sets listed in

Table 4-1.  $n$  and  $m$  were necessarily kept small to compensate for the combinatorial growth of the possible combinations. Each connection set was generated using the Unix `rand` function to generate the sender’s federate number,

connection weight, number of receivers, and the receivers' federate numbers.

**Table 4-1. Random Connection Set Test**

f	10
$t_r = t_s$	10 milliseconds <sup>9</sup>
$t_p$	10 milliseconds between all federates
$t_{max}$	1000 milliseconds
(n, m)	(5, 2), (5, 3), (6, 2)

For each desired random connection set we generated 10 sets of the given size, using different random seeds, and averaged the 10 results. This is to minimize the impact on the results of randomly pathological connection sets, of which there were a few.

The results of the LOC algorithm compared to point-to-point and to all possible groupings were reported in (Morse99). These results demonstrated that our initial LOC algorithm makes grouping decisions designed to minimize  $t_{ds}$  and the simulation results show that it's successful. The next version must also seek to minimize  $t_q$  to produce better results, i.e.

❖ We must find empirical measures for predicting  $t_q$  for the grouping algorithm to make accurate predictions about the impact of sending extraneous messages.

In addition, while the LOC algorithm takes  $t_{max}$  into consideration for the group as a whole, it doesn't prevent individual federates from being swamped, i.e.

❖ The grouping algorithm should take into account all incoming connections as well as outgoing connections because an incoming

connection not included in the multicast grouping can overwhelm a receiver.

Detailed analysis of three cases revealed that one or more federate was output-throttled by using point-to-point. An output-throttled connection is one for which  $k \cdot w \cdot t_s$  exceeds  $t_{max}$ . The first effect of such a connection is that the sender physically cannot send all the required updates in time without using multicast. The second side effect is that the average message delivery time at the receivers may appear lower because many messages never leave the sender. When these connections were added to multicast groups, the sending federate was able to send all messages at the desired rate, resulting in send rates as much as four times higher. Of course this resulted in slower average receive rates since the receiving federates had to receive four times as much data.

#### 4.4 The Input-Restricted LOC (IRLOC) Algorithm

The input-restricted largest outgoing connection (IRLOC) algorithm seeks to minimize both  $t_{ds}$  and  $t_q$  to produce better average results than the LOC algorithm. This algorithm recognizes three facts about adding a connection to an existing group:

- Any receivers of the connection who are not already in the group will receive additional connections equal to the sum of the connections already in the group, the group weight.
- Any group members who are not receivers of the connection will receive the additional weight of the connection.
- Assuming that  $t_s = t_r$ , improvements in average message delivery time created by sending a connection via multicast are directly offset by the "negative weight" created by the first two facts.

---

<sup>9</sup> Hoare and Fujimoto (Hoare and Fujimoto 1998) measured these values for RTI 1.3.

The IRLOC modifies the LOC algorithm in response to these facts. It performs the following steps:

7. Calculate the positive cumulative effect of each connection,  $(k - 1) \cdot w$ .
8. Add the receivers of the connection with the largest cumulative effect; in the event of a tie, add the lowest numbered such connection.
9. Add the next largest connection such that a) the input weight of the current group members does not exceed  $t_{\max}$  by the addition of the connection weight, b) the input weight of the connection's receivers not already in the group does not exceed  $t_{\max}$  by the addition of the group weight, c) the positive cumulative effect is greater than the negative weight. Note that the positive cumulative effect is only a function of the connection, while the negative weight is a function of the connection and the current state of the group.
10. Repeat step 3 with the remaining connections. Halt when all connections are assigned or all multicast groups are used.

#### 4.5 Comparing LOC and IRLOC

The discovery of the effects of output-throttled connections lead to the realization that the average message delivery time reported by the offline simulation does not capture all the important measures of goodness when the limits of message delivery are tested. And these are precisely the cases of most interest. As a result, the evaluation criteria were refined beyond just average message delivery time to include the effects of output throttling and overflowing the receiving queue. Output throttling and exceeding  $t_{\max}$  are boolean failure conditions; any algorithm which produces either effect for a connection set is considered to have failed. If an algorithm succeeds on these two criteria, it is

compared to other successful algorithms on the basis of average message delivery time. So, the four evaluation criteria are:

1. No receiving federate exceeds  $t_{\max}$  for its average message delivery time (Success or Failure)
2. No sending federate is output throttled for any connection or set of connections (Success or Failure)
3. Average message delivery time
4. Number of extraneous messages

The 30 random test cases were re-run for just LOC, IRLOC, point-to-point, and broadcast. The percentage of extra messages, both positive and negative, was calculated for LOC, IRLOC, point-to-point, and broadcast based on the incoming weight at each federate using point-to-point. The point-to-point incoming weight reflects the number of messages which should be received, but not necessarily the number that are received with point-to-point owing to output throttling effects.

Any grouping which results in output-throttled connections or any receiver having an average message delivery time greater than  $t_{\max}$  are considered to have failed. 26 of the point-to-point experiments and 8 of the broadcast experiments failed on one or both of these criteria. These are precisely the types of test cases to which grouping is applicable.

Among test cases that pass the first two criteria, a lower average message delivery time is preferable. In all cases, LOC produced lower average message delivery time than point to point, but often at the predictable cost of delivering extraneous messages. In 24 of the 30 test cases, LOC produced lower average message delivery time than broadcast. However, in all cases it delivered less data, as much as 50% less data. The analysis of the remaining 6

test cases reveals that the LOC algorithm could be more aggressive about adding connections to groups because the time to discard messages is much lower than the time saved by reducing sends. In all cases where LOC failed the  $t_{max}$  or output-throttling criteria, no solution exists to prevent these conditions because either some receivers had point-to-point input weights which cause them to exceed  $t_{max}$  or the sender had multiple connections with output weights that they could not all be sent, even if they were all assigned to multicast groups.

The IRLOC algorithm generates consistently good results for all cases where a good solution exists. In 23 of the 30 cases, IRLOC produced average message delivery times as low or lower than IRLOC, and usually with more accurate delivery of the correct data. In three of the seven cases in which IRLOC had higher message delivery times, it delivered exactly the correct set of data in 34.82, 34.11, and 34.64 milliseconds vs. 32.03, 32.07, and 33.24 milliseconds for LOC where the fastest possible delivery time is 30 milliseconds given  $t_s = t_r = t_p = 10$  milliseconds.

There are two important points about these three cases. First, while IRLOC delivered exactly the right data for all three cases, LOC delivered 252%, 102%, and 268% **extraneous** messages for the same cases. Second, all seven cases had fairly light connection sets, i.e. nearly all of the connections could have been put in a single group without exceeding  $t_{max}$ . The IRLOC algorithm balances the positive effect of adding a connection to a group against the **potential** negative effect of adding it. When grouping light connection sets, this approach is overly conservative because the receivers have a lot of spare time to throw away extraneous messages, i.e. the potential negative effect is higher than the actual negative effect. Under such circumstances, the more aggressive LOC grouping algorithm works better and the additional overhead of IRLOC is not warranted.

#### 4.6 Larger Connection Sets

The entity counts and bit rates for the large connection set experiments were derived from STOW-E data (NCCOSC95). The original bit rates and the round-ups used for connection weights are given in Table 4-1.

**Table 4-2. Entity Connection Weight by Type and Counts by Federate**

Entity Type	Kbps	Connection Weight	# of Entities	# of Federates
Submarine	1.09	2	2	1
Ship	1.16	2	11	2
Fixed-wing aircraft	3.72	4	36	2
Rotary-wing aircraft	2.40	3	35	2
Tank	1.27	2	600	24
Truck	1.09	2	456	24
Dismounted infantry	1.09	2	336	24
<b>Total</b>			<b>1476</b>	<b>31</b>

The STOW-E network analysis divided the data into eight time periods. Since there was no time period during which all entity types were

present, the entity numbers used for this experiment are the averages for the individual entity types across all eight time periods. The

entities were allocated to federates by side, with tanks, trucks, and dismounted infantry grouped into armored battalions. The numbers of entities and federates are given in Table 4-1. The same 20 federates represent tanks, trucks, and dismounted infantry.

The battlespace is approximately 400 km by 500 km, with region ranges as given in Table 4-3. Subscriptions by class type are as given in Table 4-4.

**Table 4-3. Region Ranges**

Entity Type	Subscription Region Range	Update Region Range
Submarine	12 km	12 km
Ship	12 km	12 km
Fixed-wing aircraft	25 km	2550 km
Rotary-wing aircraft	20 km	20 km
Tank	12 km	12 km
Truck	12 km	12 km
Dismounted infantry	12 km	12 km

**Table 4-4. Class Subscriptions**

Entity Type	Subscribes to
Submarine	Submarine
	Ship
Ship	Ship
	Fixed-wing aircraft
Fixed-wing aircraft	Tank
	Ship
Rotary-wing aircraft	Rotary-wing aircraft
	Tank
Tank	Tank
Truck	Truck
Dismounted infantry	Dismounted infantry
	Dismounted infantry

Individual subscriptions can be derived from Table 4-3 and Table 4-4, e.g. submarines subscribe to opposing ships and other submarines within 25 km.

Three “snapshots” were taken of an engagement: prior to engagement, at the point of engagement, at the end of the engagement. These snapshots were generated using the Integrated Theater Level-Engagement Model (SAIC99)<sup>10</sup> and are provided in section 9. In the figures, semi-circles represent submarines, circles represent blue ships, and diamonds represent red ships. The small ‘m’ icons represent 6 fixed wing aircraft. The small ‘m’ icons with the bar across the top represent 7 rotary wing aircraft. The rectangles represent armored forces each consisting of 25 tanks, 19 trucks, and 14 dismounted infantry for a total of 58 entities per armored force.

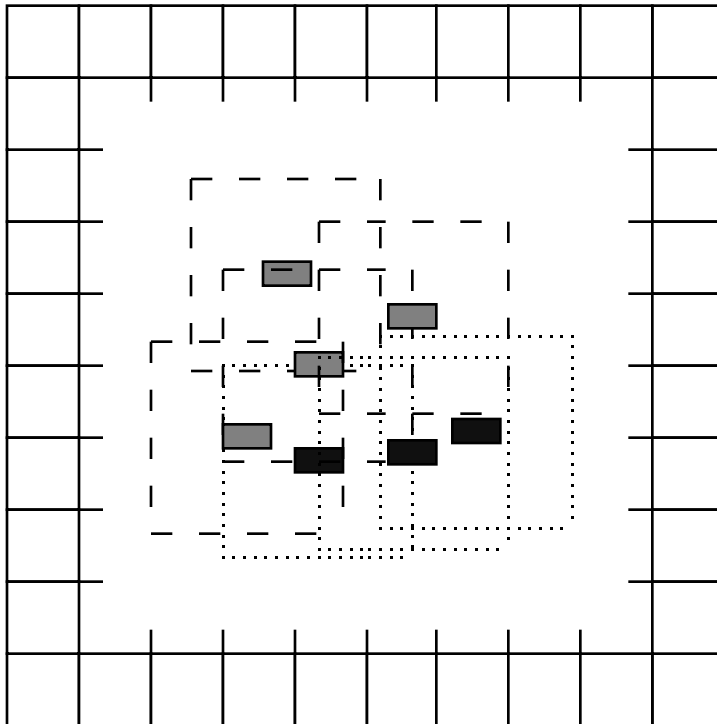
In the pre-engagement snapshot, none of the entities are close enough to opposing forces to receive any data. While this doesn’t produce multicast grouping results, it is a testament to the value of interest management in general.

The engagement snapshot is predictably the most interesting one. Visual inspection of the graphic reveals the highest level of grouping between opposing forces. Because many of the groups involve 58-entity armored forces, this snapshot results in 1052 connections. The armored forces are “aggregated” entities, i.e. one icon in the snapshot represents multiple individual entities. As a result of aggregation, all entities in the aggregate have the same update regions and the same subscription regions. This results in particularly high numbers of

<sup>10</sup> Thanks to the ITEM team for helping me generate the graphics for this test: Steve Vedder, Doug Boyles, and Bill Macak.

connections. However, if the entities were individually represented, their regions would only be perturbed slightly from the aggregated region. This would result in slightly lower numbers of connections, on the order of 5% to 10%. Simulating broadcast using the offline simulator was impractical as it would have required the simulator to handle approximately 950,000 events to simulate 10 seconds. Several

experiments with this connection set indicate that the maximum number of groups it can make productive use of is 8. Compare this with a static allocation of multicast groups to grid cells. With the 400 km by 500 km battlespace, 10 km by 10 km grid cells would require 2,000 multicast groups; 5 km by 5 km grid cells would require 8,000.



**Figure 4-1. Static vs. Dynamic Allocation of Multicast Groups**

This is illustrated in the small for the grouping of armored forces near the left center of the engagement snapshot. Figure Figure 4-1 shows the grouping of four red armored forces and three blue armored forces, and their update and subscription regions. Since armored forces have update and subscription regions of the same size, only one region is shown for each force. The armored forces and their regions are shown superimposed on a 5 km by 5 km static grid. Of the 100 grid cells shown, only 33 of the cells are

used, while only 12 are productively used to exchange data between interacting forces. Dynamic grouping put all of these connections into a single group, and this is an area of the scenario with very dense interaction between entities. This scenario contains over 100,000 square kilometers of empty sand and ocean to which a static grid assignment of multicast groups would have wastefully allocated thousands of multicast groups!

While no single connection was output throttled, several of the armored forces federates were output throttled by virtue of the number of entities they were simulating. The input weight of 15 federates exceeded  $t_{max}$ . Although the IRLOC delivered 50% more messages than point-to-point, it was still only able to deliver less than 50% of the required messages and the average message delivery time exceeded  $t_{max}$ . When the number of entities per armored force was successively lowered to 20 and 10, the connection set became tractable to the point where the IRLOC algorithm could deliver 90% of the messages with only one federate overrunning  $t_{max}$  because its input weight was 180.

In the end snapshot, there is significantly less grouping among federates, but much of it involves armored forces with their 58 entities. As a result, the end snapshot has 350 connections. However, most of these connections only have a single receiver, so the net gain over 10 seconds of simulation time is only 200 microseconds and only uses one multicast group.

The conclusions to be drawn from this experiment are two-fold. First, interest management problems exist for which there is no solution. In distributed simulations, these problems manifest themselves in message overflow and late messages. In the real world, they manifest themselves in overloaded individuals who make bad decisions because they cannot assimilate and analyze all the data presented to them. Second, and more important, when good grouping solutions exist for connection sets with typical chaotic clustering, the IRLOC algorithm can find one<sup>11</sup>.

---

<sup>11</sup> A stronger assertion can probably be made about the algorithm's applicability to random

## 5 THE ONLINE GROUPING ALGORITHM

The next logical step is to implement IRLOC in a "real" distributed environment<sup>12</sup>. The online, distributed IRLOC algorithm is built on top of a baseline prototype (Morse 2000b) implemented in the MESSENGERS mobile agents system (Bic 1996). The baseline prototype implements a minimal subset of RTI necessary to test DDM. The online IRLOC algorithm integrates the baseline prototype with the basic structure of the IRLOC algorithm. The distributed algorithm operates with degraded information for several reasons. First, the information about connections and incoming weights is distributed among the federates, and collecting it would be prohibitive in a very large scale distributed simulation. If the simulation were small enough to be able to collect all this data at a central point and still make timely grouping decisions, it wouldn't need multicast grouping. Second, this same information is changing in real time. Regions and region intersections are changing while the grouping decisions are being made. Even if the algorithm had access to global information when it started grouping, there is a non-zero probability that the information would be out of date by the time the grouping completed. Finally, the MESSENGERS system doesn't provide a straightforward, timely mechanism for passing dynamic data structures to Messengers. Some simplifying assumptions have been made which account for this. In a production system this final constraint could be relaxed.

The online grouping algorithm is triggered by the discovery of a connection or connections. A

---

connection sets, but would require more extensive analysis and experimentation.

<sup>12</sup> These results were also reported in (Morse 2000b).

grouping Messenger is injected which begins searching for a potential group. The grouping Messenger searches three places in the following order:

- on the init node on the local machine;
- at the multicast server where it may find an unused group;
- at most one hop from the multicast server at another machine.

If the grouping Messenger finds an unused group at the multicast server, it marks the group as taken to the requesting federate's machine and "carries" the group home. This is how groups migrate away from the multicast server. If the group is unused, there's no need to check for overflow and the group can be used immediately. Before a partially used group can be taken from another federate, it must be checked for overflow. The grouping Messengers carries the connection weight and connection receivers with it. The current group weight and members is always stored with the group at its current init node. All of this information is consistent with the IRLOC algorithm and is always up to date. However, the IRLOC algorithm also makes use of the current incoming connection weights of both the current group members and the connection's receivers. Here is where slightly degraded information is used. Instead of having the current incoming weights of all the connection's receivers, the grouping Messenger carries the last known, largest incoming weight of all the receivers. The incoming weights of receivers are piggybacked on subscription regions, so they may be out of date due to subsequent subscriptions. Instead of the current incoming weights of all the group's members, the group is stored with the last known, largest incoming weight of any of all the group's members.

If the grouping succeeds, the group's weight and member list is updated. The grouping is reported to the requesting federate which changes its connectivity and adjusts its outgoing connection weight down. It also injects "join" Messengers for all the connection receivers who were not previously members of the group. In this system, this Messenger only informs the receiver to adjust its incoming weight upward to account for other traffic from the group and to add to a reference count for this group. If multicast hardware were available, this Messenger would also be the trigger for the receiver to issue the appropriate system calls to join the multicast group.

### 5.1 Generating Connection Sets Dynamically

The first experiments performed were to determine the goodness of the groupings generated by the online grouping algorithm relative to the offline version. These experiments were conducted with the random connection sets in Table 4-1.

Since the online grouping algorithm can only be run in real time with the MESSENGERS system underneath, this comparison test required manually generating regions and DDM API calls whose resulting region intersections produce the connection sets listed in **Error! Reference source not found.** The groupings produced in this way were manually edited into connection set files and run through the offline simulator. In the online configuration there is no way to create the entire connection set statically. As soon as a connection or connections are detected at any federate, the RTI component at that federate triggers grouping. This required writing auxiliary Messengers which locate existing multicast groups and add new receivers to the group when they subscribe for a connection

which has already been assigned to the group in question.

Even with degraded information about the input weights of the federates, the online grouping algorithm compared quite favorably to the offline IRLOC algorithm. In over half the cases, seventeen cases, the online algorithm generated the same solution as IRLOC. In six of the cases, it generated a better average message delivery time. In one case, the degraded information about input weights caused the online algorithm to generate too conservative a solution. In two cases, the order in which connections were discovered affected the order in which they were added to groups, i.e. early addition of a connection prevented later addition of a different connection which would have produced a better result. In one case, the fact that the grouping Messenger was restricted to only looking one hop from the multicast server prevented it from putting a potential connection into an existing group. In three cases, the grouping was affected by both of the previous two factors, ordering and restricted hops.

## 5.2 Runtime Performance Results

The experiments described in Table 5-1 are designed to test the potential impact of integrating online grouping with a production RTI using relative measures between the online grouping algorithm, the baseline prototype, and an actual RTI implementation with DDM, RTI 1.3 v4. The experiments use the benchmark algorithm described in (Morse 1999b). When interpreting the results, it's critical to remember that the baseline prototype and the online grouping algorithm implement the barest minimum of HLA functionality necessary to test the hypothesis with almost no error

checking. RTI 1.3 is a robust, fully-compliant HLA 1.3 implementation with all the specified service groups and error checking, and the overhead implied by that.

**Table 5-1. Runtime Experiments**

#	(federates, regions)	$\Delta r$ /min.	i	$\Delta i$ /min.
1	(2,50), (5, 200), (10,1000)	0	r/25, r/10	0
2	(2,50), (5, 200), (10,1000)	r	r/25, r/10	0
3	(2,50), (5, 200), (10,1000)	r	r/50, r/25	i

Experiment 1 tests the impact of intersection calculations on initialization time. It establishes a basis for projection of performance of the online grouping algorithm in an actual implementation. The baseline prototype and RTI 1.3 are used because the online grouping algorithm is built on top of the baseline prototype, while the baseline prototype has an architecture for DDM which closely models the architecture of RTI 1.3.

The average per federate initialization times for each of the federates in the RTI 1.3 tests are listed in Table 5-2. Separate tests verified that the growth in initialization times is due primarily to a larger number of federates, not to a larger number of regions. The change in initialization time for grouping was calculated as the difference in initialization time between the online grouping algorithm and the baseline prototype. Given that average per federate initialization time increase is more than three orders of magnitude smaller than the initialization time without grouping, using grouping has no appreciable impact on initialization.

**Table 5-2. Initialization Times**

<b>f</b>	<b>r</b>	<b><math>\Delta r/ \text{min}</math></b>	<b>i</b>	<b><math>\Delta i/ \text{min}</math></b>	<b>RTI 1.3 (sec.)</b>	<b>Change for Grouping</b>
2	50	0	2	0	13.190171	.012
2	50	0	5	0	13.103029	.008881
5	200	0	8	0	24.895595	.015031
5	200	0	20	0	24.134336	.010996
10	1000	0	40	0	132.050392	.009019
10	1000	0	100	0	129.133358	.005554
<b>Averages</b>					56.251147	.010247

Experiment 2 tests the impact of region changes without any intersection changes. As discussed in Section 3.2, this should impact CPU usage, but not severely since the system should recognize that connectivity hasn't changed. Since regions are uniformly assigned to federates,  $r$  per federate =  $r/f$  which ranges from 25 to 100. Here the methodology is to determine if the RTI can do its job without robbing the federates of the CPU cycles they need to do their job. Although the Sun Sparc 5s used in the experiment are slightly underpowered compared to platforms typically used for HLA-based simulations, the RTI performed fairly well. Each experiment is run for 7 minutes with 10 loops per second for a total of 4200 loops. During each loop, the federate code performs all the calculations it requires and the remainder of the time in the loop allocated for the RTI to perform its functions. A "bad" loop is one in which the RTI fails to complete all its processing in the remaining loop time allocated to it. Across all six tests in experiment 2, the RTI only suffered an average of 2.6% bad loops. Running the benchmark algorithm with the online grouping algorithm and the baseline grouping algorithm only resulted in an average of 2173 msec more time taken by the RTI across a 7 minute period; approximately .5 msec per .1 sec loop. That's less time than it takes to receive a single

extraneous message that would have been delivered without multicast!

Experiment 3 tests the impact of region changes with intersection changes. This should impact CPU usage more severely than experiment 2 since connectivity changes must be made. Predictably, the RTI produced more bad loops for experiment 3 than for experiment 2, 5.7% vs. 2.6%. However, the grouping algorithm only resulted in an average of 384 msec more time. The fact that this is lower than the time for experiment 2 are initially surprising, but the numbers are so small compared to the measurable resolution that even small perturbations in the CPU load or network load on these non-dedicated machines can result in proportionally large differences.

For the sake of completeness, the additional time for all the experiments with the online grouping algorithm and the baseline algorithm were recorded and averaged. The average additional time was 2596 msec or .62 msec per loop.

All of this is overshadowed by the time it takes to reconfigure multicast groups in routers. According to (IETF 1997) and (Cisco 1999), joining a multicast group across a LAN can take no time at all, while leaving a multicast group across a WAN may take on the order of 260

seconds. In summary, it is not the time it takes to calculate the multicast groups which is the impediment to dynamic multicast grouping as has been asserted in the past, but the time it takes to change the groups in the routers.

## 6 CONCLUSIONS

As the size of distributed simulations grow, unwanted data received by member simulations will continue to grow as a limiting factor. Multicast has been identified as a highly effective and efficient tool for controlling the delivery of unwanted data, but multicast groups are a limited resource. Static assignment of multicast groups to particular geographic regions and data types have yielded positive results, but may not be extensible to very large simulations or simulations which exhibit a large degree of chaotic clustering. We have taken major steps toward dynamic assignment of multicast groups in the context of the HLA's DDM services. We have identified the critical performance impacts and incorporated them into three algorithms for performing multicast grouping. And we have shown that these algorithms can be expected to perform favorably in terms of data delivery against point-to-point delivery and broadcast.

## 7 FUTURE WORK

In this paper we have presented results of using the LOC and IRLOC algorithms on static snapshots of connection sets, as well as dynamic IRLOC on small connection sets. Clearly the real challenge is to perform grouping on dynamically changing large connection sets. The small change in overhead for small connection sets bodes well for ultimately incorporating dynamic multicast grouping into the DDM implementation in a production RTI.

## 8 REFERENCES

- 3Com Corporation. "Scaling Performance and Managing Growth with the CoreBuilder 3500 Layer 3 Switch." Available at <http://www.3com.com/products/dsheets/400347a.html>.
- Howard Abrams. Extensible Interest Management for Scalable Persistent Distributed Virtual Environments. Ph.D. Dissertation, Naval Postgraduate School, December 1999.
- Abrams, H.; K. Watsen; and M. Zyda. 1998. "Three-Tiered Interest Management for Large-Scale Virtual Environments." In *Proceedings of 1998 ACM Symposium on Virtual Reality Software and Technology (VRST'98)*, (Taipei, Taiwan).
- Calvin, J.; D.P. Cebula; C.J. Chiang; S.J. Rak; and D.J. Van Hook. 1995. "Data Subscription in Support of Multicast Group Allocation." In *13th Workshop on Standards for the Interoperability of Distributed Simulations* (Orlando, FL, September) 367-369.
- James O. Calvin, Duncan C. Miller, Joshua Seeger, Gregory Troxel, and Daniel J. Van Hook. Application Control Techniques System Architecture. Technical Report RITN-1001-00, MIT - Lincoln Labs, February 1995.
- James O. Calvin, Carol J. Chiang, and Daniel J. Van Hook. Data Subscription. In *12th Workshop on Standards for the Interoperability of Distributed Simulations*, pages 807-813. March 1995.
- Cisco IOS 12.0 Solutions for Network Protocols Volume 1: IP. Cisco Press. 1999.
- Department of Defense High Level Architecture Interface Specification, Version 1.3, DMSO, April 1998, available at <http://hla.dmsomil>.
- Hoare, P.; and R. Fujimoto. 1998. "HLA RTI Performance in High Speed LAN Environments." In *Proceedings of the 1998 Fall Simulation Interoperability Workshop*. (Orlando, FL, September). 501-510.
- IETF Network Working Group. Internet Group Management Protocol, Version 2, RFC 2236. Available at <http://rfc.koeln.de/rfc/html/rfc2236.html>, November 1997.

Macedonia, M.; M. Zyda; D. Pratt; and P. Barham. 1995. "Exploiting Reality with Multicast Groups: a Network Architecture for Large Scale Virtual Environments." In *Virtual Reality Annual International Symposium '95*. 2-10.

Mastaglio, T.W.; and R. Callahan. 1995. "A Large-Scale Complex Virtual Environment for Team Training." *IEEE Computer* 28, no. 7 (July): 49-56.

Morse, K.L. 1999; L. Bic; M. Dillencourt; and K. Tsai. "Multicast Grouping for Dynamic Data Distribution Management." In *Proceedings of the 1999 Society for Computer Simulation Conference*. (Chicago, IL, July).

Morse, K.L.; L. Bic; and M. Dillencourt. 2000. "Interest Management in Large Scale Virtual Environments." MIT Presence, March 2000.

Morse, K.L.; and J.S. Steinman. 1997. "Data Distribution Management in the HLA: Multidimensional Regions and Physically Correct Filtering." In *Proceedings of the 1997 Spring Simulation Interoperability Workshop* (Orlando, FL, March). 343-352.

Morse, K.L.; and M. Zyda. "Online Multicast Grouping for Dynamic Data Distribution Management." In *Proceedings of the 2000 Fall Simulation Interoperability Workshop*. (Orlando, FL, September).

Naval Command, Control and Ocean Surveillance Center. Synthetic Theater of War-Europe (STOW-E) Technical Analysis. NCCOSC, San Diego, CA, May 22, 1995.

Rak, S.J.; and D.J. Van Hook. 1996. "Evaluation of Grid-Based Relevance Filtering for Multicast Group Assignment." In *14th Workshop on Standards for the Interoperability of Distributed Simulations* (Orlando, FL, September) 739-747.

SAIC. Integrated Theater-Level Engagement Model (ITEM) User's Manual version 8.3. SAIC, 10260 Campus Point Drive, San Diego, CA, October 25, 1999.

Van Hook, Daniel J.. RITN IM and IM History. Personal Communication, January 1996.

## 9 ENGAGEMENT SNAPSHOTS

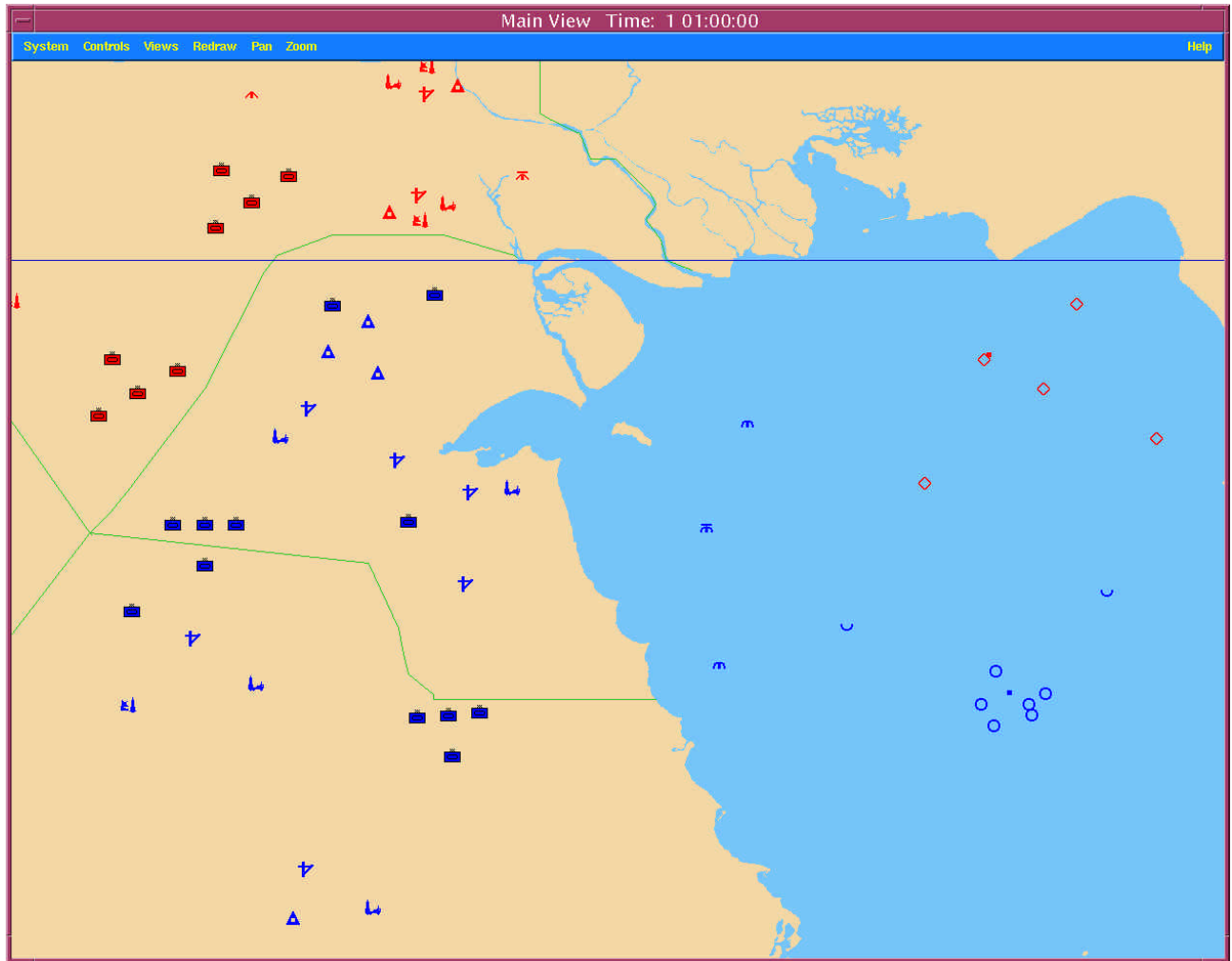
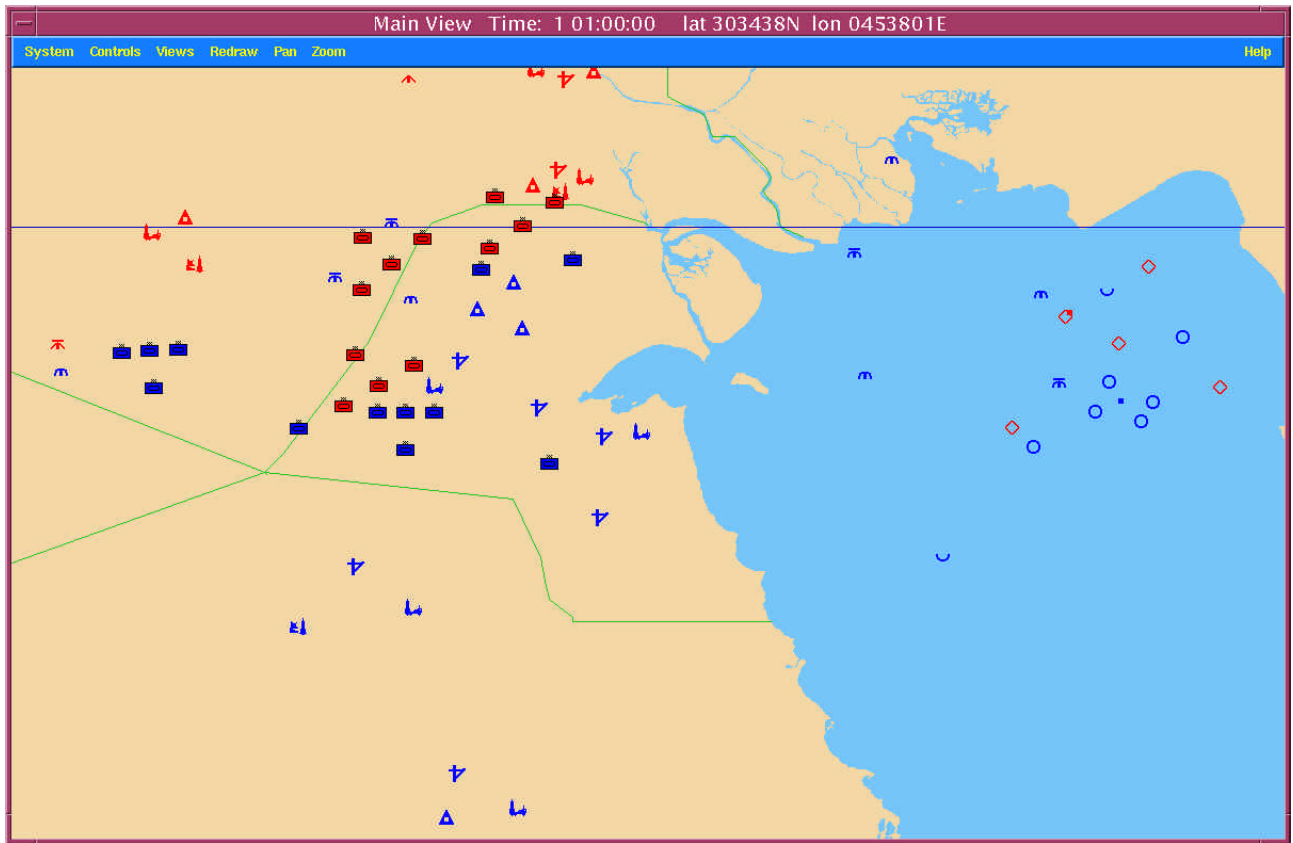
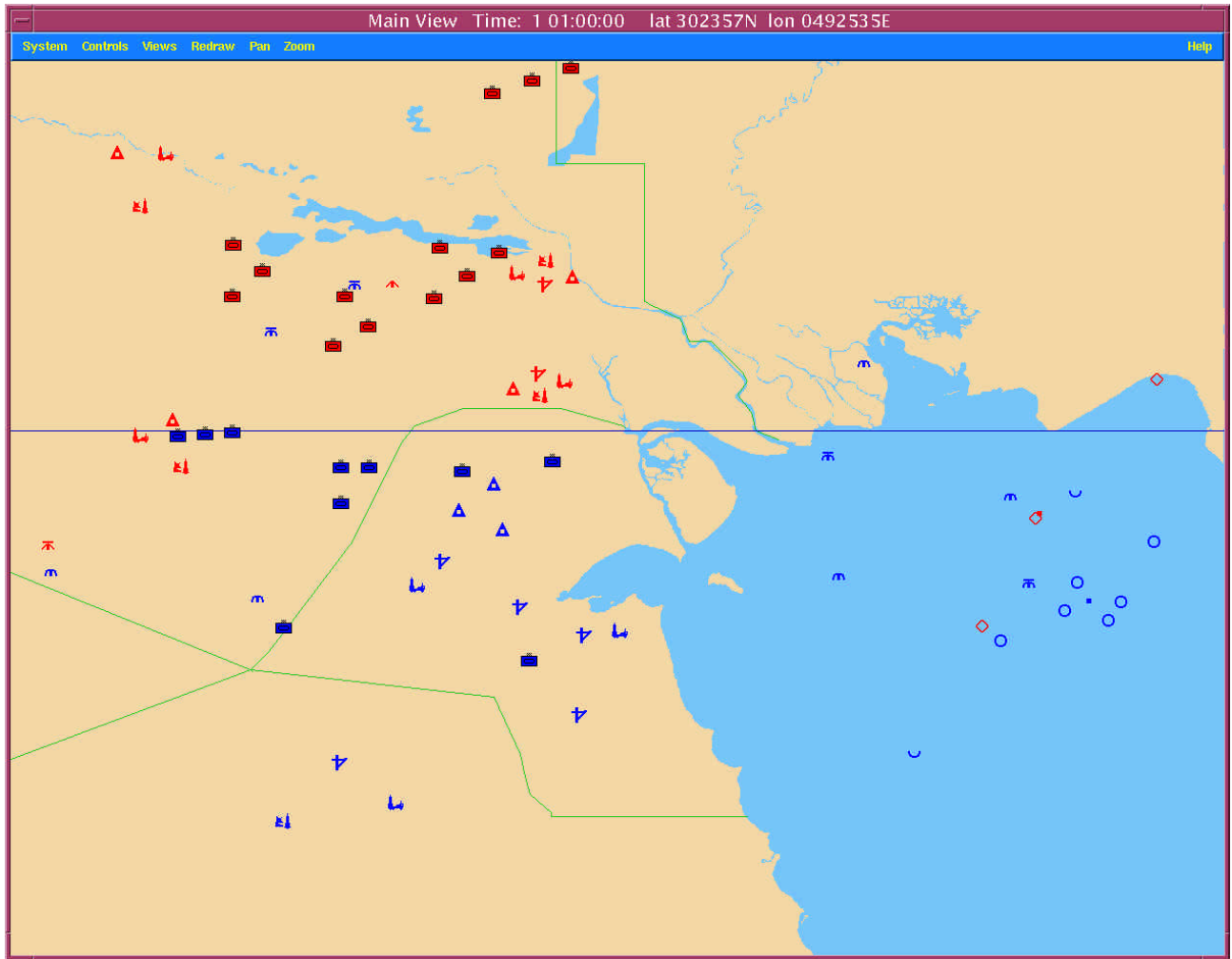


Figure 9-1. Pre-engagement Snapshot



**Figure 9-2. Engagement Snapshot**



**Figure 9-3. Post-engagement Snapshot**